



Sheet 1 of 2

FORM PTO-1449  
(REV. 6-89)

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Attorney's Docket No.  
20735-05217Serial No.  
09/887,157

Applicant

Eng-Whatt Toh et al.

Filing Date

June 21, 2001

Group Art Unit

2131

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
TH	A	6,226,748	05/01/01	Bots et al.	713	201	06/12/97
TH	B	6,173,399	01/09/01	Gilbrech	713	153	06/12/97
TH	C	6,119,137	09/12/00	Smith et al.	707	523	01/30/97
TH	D	6,092,200	07/18/00	Muniyappa et al.	713	201	08/01/97
TH	E	6,092,113	07/18/00	Maeshima et al.	709	230	08/28/97
TH	F	6,079,020	06/20/00	Liu	713	201	01/27/98
TH	G	6,061,448	05/09/00	Smith et al.	380	21	04/01/97
TH	H	6,055,575	04/25/00	Paulsen et al.	709	229	01/26/98
TH	I	6,009,173	12/28/99	Sumner	380	21	01/31/97
TH	J	5,956,406	09/21/99	Maldy	380	30	03/20/97
TH	K	5,864,667	01/26/99	Barkan	395	187.01	08/22/97
TH	L	5,812,669	09/22/98	Jenkins et al.	380	25	07/19/95
TH	M	5,802,286	09/01/98	Dere et al.	395	200.5	05/22/95

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
TH	N	WO 98/57465	12/17/98	PCT	H04L	12/46 29/06	N/A	
TH	O	WO 98/57464	12/17/98	PCT	H04L	12/46 29/06	N/A	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

TH	P	Ellison, C. et al. Ten Risks of PKI: What You're not Being Told about Public Key Infrastructure. Computer Security Journal, Vol. XVI, No. 1 (2000), pages 1-7.
TH	Q	Introduction to SSL [online]. Netscape Communications Corporation (Last updated 10/09/98) [retrieved on 10/24/2000]. Retrieved from the Internet <URL: <a href="http://developer.netscape.com/docs/manuals/security/ssl/in/contents.htm">http://developer.netscape.com/docs/manuals/security/ssl/in/contents.htm</a> . Pages 1-11.
TH	R	Introduction to Public-Key Cryptography [online]. Netscape Communications Corporation [retrieved on 10/24/2000]. Retrieved from the Internet <URL: <a href="http://developer.netscape.com/docs/manuals/security/pk/in/contents.htm">http://developer.netscape.com/docs/manuals/security/pk/in/contents.htm</a> . Pages 1-19.

EXAMINER

DATE CONSIDERED

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FORM PTO-1449  
(REV. 6-89)U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

## INFORMATION DISCLOSURE CITATION

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Attorney's Docket No.  
20735-05217Serial No.  
09/887,157

Applicant

Eng-Whatt Toh, et al.

Filing Date

June 21, 2001

Group Art Unit

2131

## U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
7H	A	4,625,076	11/25/86	Okamoto et al.	178	22.11	
7H	B	4,816,655	3/28/89	Musyck et al.	235	380	
7H	C	4,868,877	9/19/89	Fischer	380	38	
7H	D	5,005,200	04/02/91	Fischer	380	30	JUN 19 2002
7H	E	5,018,196	05/21/91	Takaragi et al.	380	30	
7H	F	5,138,653	8/11/92	Le Clercq	379	96	
7H	G	5,157,726	10/20/92	Merkle et al.	380	23	
7H	H	5,210,869	5/11/93	Williams	395	600	
7H	I	5,241,599	8/31/93	Bellovin et al.	380	21	
7H	J	5,261,002	11/9/93	Perlman et al.	380	30	
7H	K	5,283,887	2/1/94	Zachery	395	500	

## FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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*Thomas Ho*

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PTO-1449  
REV: 02/01

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Substitute for form 1449A/PTO				Complete if Known	
				Application No.	09/887,157
				Filing Date	June 21, 2001
				First Named Inventor	Eng-Whatt Toh
				Art Unit	2132
				Examiner Name	Gilberto Barron Jr.
				Attorney Docket Number	20735-05217
Sheet 1 of 1					

*SEP 30 2002*

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## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document No.	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
Ty	1	US-6,064,878 A	05-16-2000	Denker et al.
Ty	2	US-6,035,104 A	03-07-2000	Zahariev
TM	3	US-5,812,671 A	09-22-1998	Ross, Jr.
TM	4	US-5,642,420 A	06-24-1997	Kuroda et al.
		US-		

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>2</sup>
Ty	5 ~	WO 98 58332 A1	12-23-1998	Telefonaktiebolaget LM Ericsson	
Ty	6	WO 97 41661 A2/A3	11-06-1997	Motorola Inc.	

## OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
Ty	7	Herferl, M., "Security-Enhanced Mailing Lists" IEEE Network, IEEE Inc., New York, US, Vol. 11, No. 3, May 1, 1997, pages 30-33.	

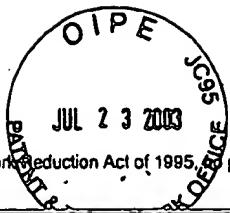
Examiner Signature	<i>Thomas M</i>	Date Considered	10/21/04
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20735-05217/DOCS/I299631.I



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Complete if Known	
Sheet	1	of	1	Application No.	09/887,157
				Filing Date	June 21, 2001
				First Named Inventor	Eng-Whatt Toh
				Art Unit	2132
				Examiner Name	Gilberto Barron, Jr.
				Attorney Docket Number	20735-05217

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

FOREIGN PATENT DOCUMENT					
		Foreign Patent Document			
Examiner Initials*	Cite No. <sup>1</sup>	Country Code <sup>2</sup> – Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>

**OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS**

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Examiner Signature		Date Considered	
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